

Receipt
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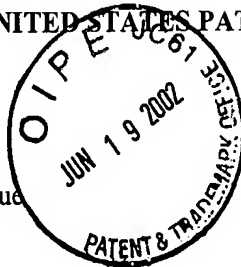
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Stern

Serial No.: 10/072,475

Filed: 02/06/2002

For: Handpiece for Treatment of Tissue



Group No.: 3739

Examiner: Not assigned

Commissioner for Patents
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 04/19/2002. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

SUBSTITUTE DRAWINGS

- IV. Enclosed are Substitute Drawings in compliance with 37 CFR 1.84.

INFORMATION DISCLOSURE STATEMENT

- V. Applicant submits and Information Disclosure Statement and 6 references.

COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$370.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$576.00
3. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
4. Assignment (See "ASSIGNMENT COVER SHEET").	<u>\$40.00</u>
Total completion fees	\$1,051.00

REQUEST FOR CORRECTED FILING RECEIPT

- VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	- Roger A. Stern, <i>Cupertino, CA</i> ; Mitchell Levinson, <i>Pleasanton, CA</i> ; Bryan Weber, <i>Livermore, CA</i>
2. Attorney of Record	- 025213 <i>Heller Ehrman White & McAuliffe</i> 275 Middlefield Road Palo Alto, CA 94025

TOTAL FEE DUE

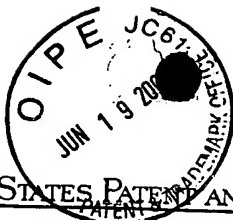
- VII. The total fee due is: Completion fees \$1,051.00 Corrected Filing Receipt Fees \$25.00

TOTAL FEE DUE \$1,076.00

PAYMENT OF FEES

- VIII. Check No. 117447 in the amount of \$1,076.00 is enclosed.
Please charge Account No. 08-1641 (39238-0753) for any underpayment, or credit any overpayment.

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Thermage/16904-753
P.D. Page 1 of 2

APR 25 2002

WILSON SONSINI UNITED STATES PATENT AND TRADEMARK OFFICE
GOODRICH & ROSATI

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/072,475	02/06/2002	3739	0.00	16904-753	4	84	3

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

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APR 25 2002

HEWM LLP - P.A.

DOCKETED

CONFIRMATION NO. 3000

FILING RECEIPT



OC000000007912038

Date Mailed: 04/19/2002

025213

HELLER EHRMAN WHITE & McADUFFE
275 Middlefield Road
Palo Alto, CA 94025

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Roger Stern, [Residence Not Provided] -- Cupertino, CA --
Mitch Levinson, [Residence Not Provided] -- Mitchell Levinson, Pleasanton, CA --
Bryan Weber, [Residence Not Provided] -- Livermore, CA --

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/522,275 03/09/2000
WHICH CLAIMS BENEFIT OF 60/123,440 03/09/1999

Foreign Applications

If Required, Foreign Filing License Granted 04/18/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

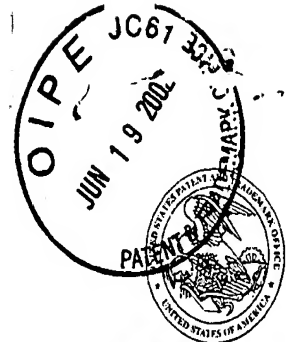
Handpiece for treatment of tissue

Preliminary Class

MISSING PARTS
DOCKETED-VIS

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11-19-02 F



#4

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/072,475	02/06/2002	Roger Stern	16904-753

CONFIRMATION NO. 3000

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

FORMALITIES LETTER



OC000000007912040

Date Mailed: 04/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$576.
 - \$576 for 64 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1011.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE